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PTO/SB/21 (09-04)

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Total Number of Pages in This Submission

Application Number	10/500,884
Filing Date	July 7, 2004
First Named Inventor	Richard Compans
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	EU 01083

**ENCLOSURES (Check all that apply)**

- |  |  |  |
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Firm Name	Pabst Patent Group LLP		
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Date	November 3, 2004	Reg. No.	55,589

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Effective 10/05/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

**Complete if Known**

Application Number	10/500,884
Filing Date	July 7, 2004
First Named Inventor	Richard Compans
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	EU 01083

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Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 790	2001 395	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 395	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
44	-44 =		
Independent Claims	2	-3** =	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 44	Independent claims in excess of 3
1203 300	2203 150	Multiple dependent claim, if not paid
1204 88	2204 44	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

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**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 430	2252 215	Extension for reply within second month	
1253 980	2253 490	Extension for reply within third month	
1254 1,530	2254 765	Extension for reply within fourth month	
1255 2,080	2255 1,040	Extension for reply within fifth month	
1401 340	2401 170	Notice of Appeal	
1402 340	2402 170	Filing a brief in support of an appeal	
1403 300	2403 150	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,370	2501 685	Utility issue fee (or reissue)	
1502 490	2502 245	Design issue fee	
1503 660	2503 330	Plant issue fee	
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1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

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SUBTOTAL (3) (\$ ) 0.00

**SUBMITTED BY**

(Complete if applicable)

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Tiffany B. Salmon

Registration No.  
(Attorney/Agent)

55,589

Telephone (404) 879-2153

Signature

Date

November 3, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard Compans, Luigi Marzilli, Amy Sears, and Dabney Dixon

Serial No.: 10/500,884

Art Unit: Not Yet Assigned

Filed: July 7, 2004

Examiner: Not Yet Assigned

For: *PORPHYRINS WITH VIRUCIDAL ACTIVITY*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56 and 37 C.F.R. §1.97, Applicants submit an Information Disclosure Statement, including 9 pages of Form PTO-1449 and a copy of each document cited therein.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) prior to a first Office Action on the merits. It is believed that no fee is required with this submission. However, should a fee be required, the Commissioner is hereby authorized to charge any required fees to Deposit Account No. 50-3129.

### U.S. Patents

<u>Number</u>	<u>Issue Date</u>	<u>Patentee</u>	<u>Class/Subclass</u>
4,522,811	06-11-1985	Eppstein, et al.	514/2
5,109,016	04-28-1992	Dixon, et al.	514/410
5,192,788	03-09-1993	Dixon, et al.	514/410
5,281,616	01-25-1994	Dixon et al.	514/410

### Foreign Documents

<u>Number</u>	<u>Publication Date</u>	<u>Patentee</u>	<u>Country</u>
WO99/36476	07-22-1999	Kimberly-Clark Worldwide	PCT

### Publications

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### Remarks

This statement should not be interpreted as a representation that an exhaustive search has been conducted or that no better art exists. Moreover, Applicants invite the Examiner to make an independent evaluation of the cited art to determine its relevance to the subject matter of the present application. Applicants are of the opinion that their claims patentably distinguish over the art referred to herein, either alone or in combination.

Respectfully submitted,



Tiffany B. Salmon  
Reg. No. 55,589

Dated: November 3, 2004

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(use as many sheets as necessary)

10/500,884

**July 7, 2004**

**Richard Compans**

**Examiner Name**

EU 01083
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9

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office. <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		PCT	WO99/36476		Kimberly-Clark Worldwide	07-22-1999		
Examiner's Signature					Date Considered			

<sup>1</sup> Unique citation designation number <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/500,884
Filing Date	July 7, 2004
First Named Inventor	Richard Compans
Group Art Unit	
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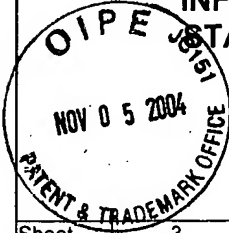
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		Application Number	10/500,884
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		First Named Inventor	Richard Compans
		Group Art Unit	
		Examiner Name	
Sheet 9 of 9	Attorney Docket Number	EU 01083	

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		WEBER, et al., "Chemical condoms' for the prevention of HIV infection: Evaluation of novel agents against SHIV(89.6PD) <i>in vitro</i> and <i>in vivo</i> " <i>AIDS</i> 15: 1563-1568 (2001).	
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		ZACHAROPOULOS and PHILLIPS, "Vaginal formulations of carrageenan protect mice from herpes simplex virus infection" <i>Clinical and Diagnostic Laboratory Immunology</i> 4: 465-468 (1997).	
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